

COPY

PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165 Examiner:

Serial No.: 09/801,848 Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS AND TRADING PROGRAMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on Form PTO 1449, a copy of which is enclosed, may be pertinent to the above-referenced application and are made of record to assist the Patent Office in its examination of this application. The Examiner is requested to fully consider the items and independently ascertain their teaching.

No fee is due since this Information Disclosure Statement is being filed

before the mailing date of a first Office Action on the merits.

Respectfully submitted,

Date: June 26, 2002

260 West 52 St. Ste. 27B
New York, NY 10019
voice/fax 212 245-3940

Brenda Pomerance

Brenda Pomerance
Reg. No. 36,894

COPY

Substitute Form PTO 1449

INFORMATION DISCLOSURE STATEMENT	Application No. 09/801,848 Filing Date March 8, 2001 Inventor Christopher Keith Group Art Unit 2165 Examiner TBA Atty. Docket No. 0505-4002
Sheet 1 of 1	

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No.	Foreign Patent Documents		Name of Patentee or Assignee	Publication Date MM-DD-YYYY	Relevant text or Figs.	Trsl. (Y)
		Office	Number				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

2165
7-11
COPY

PATENT
0505-4002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher KEITH

Filed: March 8, 2001

Art Unit: 2165

Examiner:

Serial No.: 09/801,848

Confirmation No.: 1156

Title: PLATFORM FOR MARKET PROGRAMS
AND TRADING PROGRAMS



I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement
2. PTO Form 1449
3. Copies of 1 reference
4. Return receipt postcard

are being deposited with the United States Postal Service with sufficient postage as first class mail under 37 C.F.R. § 1.8 on the date indicated below in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: June 26, 2002

Brenda Pomerance
Brenda Pomerance

CORRESPONDENCE ADDRESS:

Brenda Pomerance
260 West 52 St. Ste. 27B
New York, NY 10019
212 245-3940

